

# A sharp estimate of the $k$ -modulus of smoothness of Bessel potentials: an application to optimal embeddings

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# Classical results

## Sobolev's classical embedding theorem

$\Omega \subset \mathbb{R}^n$  is a domain with a sufficient smooth boundary

- Limiting case, i.e.,  $p = \frac{n}{k} \geq 1$

$$W_p^k(\Omega) \hookrightarrow L_q(\Omega), \text{ for all } q \in [p, +\infty),$$

( $q = +\infty$ , if  $p = 1$  so that  $k = n$ ).

- Super-limiting case, i.e.,  $p > n/k$ ,  $W_p^k(\Omega) \hookrightarrow C_B(\Omega)$ .

When  $k = 1 + n/p \in \mathbb{N}$ ,

$$W_p^k(\Omega) \hookrightarrow C^{0,\alpha}(\bar{\Omega}), \text{ for all } \alpha \in (0, 1).$$

If  $p = 1$ , we can have  $\alpha = 1$  - Lipschitz space.

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## Better target spaces

## Super-Limiting case: “almost” Lipschitz functions

Brézis-Wainger [1980],

$$H_p^{1+n/p}(\mathbb{R}^n) \hookrightarrow C^{0,\lambda(\cdot)}(\overline{\mathbb{R}^n})$$

with  $\lambda(t) := t |\log t|^{\frac{1}{p'}}$ ,  $t \in (0, \frac{1}{2})$ , which implies, for some positive constant  $c$ ,

$$|f(x) - f(y)| \leq c \|f\|_{H_p^{1+n/p}} |x - y| |\log |x - y||^{\frac{1}{p'}}$$

for all  $f \in H_p^{1+n/p}(\mathbb{R}^n)$  and  $x, y \in \mathbb{R}^n$  such that  $0 < |x - y| < \frac{1}{2}$ .

## Super-Limiting case: “almost” Lipschitz functions

## Improvements by

Triebel [2001],

$$H_p^{1+n/p}(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty,p}^{\lambda_p(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow C^{0,\lambda(\cdot)}(\mathbb{R}^n)$$

with  $\lambda_p(t) := t |\log t|$ ,  $t \in (0, \frac{1}{2})$ , which implies, for some positive constant  $c$ , that

$$\|f\|_{\infty} + \left( \int_0^{1/2} \left( \frac{\omega(f,t)}{t |\log t|} \right)^p \frac{dt}{t} \right)^{1/p} \leq c \|f\|_{H_p^{1+n/p}}$$

for all  $f \in H_p^{1+n/p}(\mathbb{R}^n)$ .  
 $(1 < p < +\infty)$

# Example: classical Sobolev space

$$n, k \in \mathbb{N}, n > 1, k \leq n - 1$$

$$f \in W^{k+1, n/k}(\mathbb{R}^n) = W^{k+1} L^{n/k}(\mathbb{R}^n) \implies$$

$$(1) \omega_1(f, t) \lesssim t(1 - \log t)^{1-k/n}, \quad t \in (0, 1)$$

Brézis and Wainger, 1980.

$$(2) \int_0^1 \left( \frac{\omega_1(f, t)}{t(1 + |\log t|)} \right)^{n/k} \frac{dt}{t} < \infty$$

Better result than (1); consequence of a more general result of Triebel, 2001.

$$(3) \int_0^1 \left( \frac{\omega_2(f, t)}{t} \right)^{n/k} \frac{dt}{t} < \infty$$

Better result than (1) and (2). Result known!!! Also a consequence of more general results of GNO, 2010 ( $k < n - 1$ ) and GMNO, 2011 ( $k = n - 1$ ).

### Example: embedding of Sobolev-Orlicz space

If  $k, n \in \mathbb{N}$ ,  $k + 1 \leq n$  and  $\alpha \in \mathbb{R}$ ,

$$W^{k+1} L^{n/k} (\log L)^\alpha (\mathbb{R}^n) \hookrightarrow \Lambda_{\infty, n/k}^{k+1, \mu(\cdot)}(\overline{\mathbb{R}^n}).$$

$\mu(t) := t \ell^{-\alpha}(t)$  for all  $t \in (0, 1)$ .

Put  $p = \frac{n}{k}$  and let  $\alpha \leq 1 - \frac{k}{n}$ . Then,

$$\Lambda_{\infty, n/k}^{k+1, \mu(\cdot)}(\overline{\mathbb{R}^n}) = \Lambda_{\infty, n/k}^{2, \mu(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow \Lambda_{\infty, r}^{1, \lambda_{pr}(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow \Lambda_{\infty, \infty}^{1, \lambda_{p\infty}(\cdot)}(\overline{\mathbb{R}^n}),$$

$$\lambda_{pr}(t) := t (\ell(t))^{1-k/n+1/r-\alpha}, \quad t \in (0, 1), \quad r \in [n/k, +\infty].$$

Taking  $\alpha = 0$ , we arrive at  $W^{k+1, n/k}(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty, n/k}^{2, \ell(\cdot)}(\overline{\mathbb{R}^n})$   
 (Brézis-Wainger ( $r = +\infty$ ) and Triebel ( $r = p = \frac{n}{k}$ ) results are a consequence of a better embedding.)

If  $\alpha > 1 - \frac{k}{n}$ , then,  $\Lambda_{\infty, n/k}^{k+1, \mu(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow \Lambda_{\infty, \infty}^{1, \ell(\cdot)}(\overline{\mathbb{R}^n}) = Lip(\overline{\mathbb{R}^n})$ .



## Preliminaries: Notation and basic definitions

## Notation

( $k$ -) modulus of smoothness:

$$h \in \mathbb{R}^n, \quad f \in C_B(\mathbb{R}^n)$$

$$\Delta_h f(x) := f(x+h) - f(x), \quad x \in \mathbb{R}^n$$

$$\Delta_h^{k+1} f(x) := \Delta_h(\Delta_h^k f)(x), \quad x \in \mathbb{R}^n, \quad k \in \mathbb{N}$$

$$\omega_k(f, t) := \sup_{|h| \leq t} \|\Delta_h^k f\|_\infty, \quad t \geq 0.$$

$$\omega(f, t) := \omega_1(f, t).$$

# Preliminaries: Notation and basic definitions

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## The generalized Hölder space

$$k \in \mathbb{N}, \quad r \in (0, +\infty], \quad \lambda \in \mathcal{L}_r^k$$

$$\Lambda_{\infty, r}^{k; \lambda(\cdot)}(\overline{\mathbb{R}^n}) := \{f \in C_B(\mathbb{R}^n); \|f| \Lambda_{\infty, r}^{k, \lambda(\cdot)}(\overline{\mathbb{R}^n})\| < +\infty\}$$

$$\|f| \Lambda_{\infty, r}^{k, \lambda(\cdot)}(\overline{\mathbb{R}^n})\| := \|f\|_\infty + \left\| t^{-1/r} \frac{\omega_k(f, t)}{\lambda(t)} \right\|_{r; (0,1)}$$

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$$\omega_k(f, t) := \sup_{|h| \leq t} \|\Delta_h^k f\|_\infty, \quad t \geq 0.$$

$$\omega(f, t) := \omega_1(f, t).$$

- If  $k = 1$ , we sometimes use  $\Lambda_{\infty, r}^{\lambda(\cdot)}(\overline{\mathbb{R}^n}) := \Lambda_{\infty, r}^{1; \lambda(\cdot)}(\overline{\mathbb{R}^n})$  and  $C^{0, \lambda(\cdot)}(\overline{\mathbb{R}^n}) := \Lambda_{\infty, \infty}^{\lambda(\cdot)}(\overline{\mathbb{R}^n})$ .
- the scale  $\Lambda_{\infty, r}^{k, \lambda(\cdot)}$  contains **both** Hölder **and** Zygmund spaces

Bessel-potential spaces  $H^\sigma X(\mathbb{R}^n)$ 

## Bessel Kernel

$g_\sigma$ , com  $\sigma > 0$ :

$$\widehat{g}_\sigma(\xi) = (2\pi)^{-n/2} (1 + |\xi|^2)^{-\sigma/2}, \xi \in \mathbb{R}^n.$$

where the Fourier transform  $\hat{f}$  of a function  $f$  is given by

$$\hat{f}(\xi) := (2\pi)^{-n/2} \int_{\mathbb{R}^n} e^{-i\xi \cdot x} f(x) dx$$

## Bessel-potential spaces

$X = X(\mathbb{R}^n) = X(\mathbb{R}^n, \mu_n)$  r. i. BFS over  $(\mathbb{R}^n, \mu_n) \implies$

$X \hookrightarrow L^1(\mathbb{R}^n) + L^\infty(\mathbb{R}^n) \implies$

$u = f * g_\sigma$  is well defined for all  $f \in X$

$H^\sigma X(\mathbb{R}^n) := \{u : u = f * g_\sigma, f \in X(\mathbb{R}^n)\}$

$\|u\|_{H^\sigma X} := \|f\|_X$

Notation:  $H^0 X(\mathbb{R}^n) = X(\mathbb{R}^n)$ .

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# Lorentz-Karamata spaces

## Definition

$b$  slowly varying function on  $(0, +\infty)$  ( $b \in SV(0, +\infty)$ ):

- Lebesgue measurable function  $b : (0, +\infty) \rightarrow (0, +\infty)$ ;
- for each  $\epsilon > 0$ ,

$$t^\epsilon b(t) \approx f_\epsilon(t) \nearrow \quad \text{and} \quad t^{-\epsilon} b(t) \approx f_{-\epsilon}(t) \searrow.$$

## Examples

Let  $\alpha, \beta \in \mathbb{R}$ :

- $b(t) = (1 + |\log t|)^\alpha (1 + \log(1 + |\log t|))^\beta$ ;
- $b(t) = \exp(|\log t|^\alpha)$ ,  $0 < \alpha < 1$ .

# Lorentz-Karamata spaces

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## Lorentz-Karamata space $X = L_{p,q;b}(\mathbb{R}^n)$

$$\|f\|_{p,q;b} := \|t^{\frac{1}{p} - \frac{1}{q}} b(t) f^*(t)\|_{q;(0,+\infty)}$$

( $f^*$  non-increasing rearrangement of  $f \in \mathcal{M}(\mathbb{R}^n, \mu_n)$ ).

## Example

$b = \ell^\alpha$  - product of powers of iterated “logs”

Logarithmic Bessel-potential-type spaces (Edmunds, Gurka and Opic, 1997).

# Optimal Embeddings: super-limiting case

## Theorem [Gogatishvili, N. & Opic (2005)]

Let  $\sigma \in [1, n+1)$ ,  $\max\{1, n/\sigma\} < p < n/(\sigma-1)$ ,  $q \in (1, +\infty)$ ,  $r \in [q, +\infty]$  and let  $b \in SV(0, +\infty)$ .  $\Omega \subset \mathbb{R}^n$  a nonempty domain.  $\lambda(t) = t^{\sigma-n/p}[b(t^n)]^{-1}$ ,  $t \in (0, 1]$ .

(i) Then  $H^\sigma L_{p,q;b}(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty,r}^{\lambda(\cdot)}(\overline{\mathbb{R}^n})$ .

(ii) If  $\lim_{t \rightarrow 0_+} \frac{t}{\|\tau^{-1/r} \frac{\tau}{\mu(\tau)}\|_{r;(0,t)}} = 0$ ,  $H^\sigma L_{p,q;b}(\mathbb{R}^n) \not\hookrightarrow \Lambda_{\infty,r}^{\mu(\cdot)}(\overline{\Omega})$

(iii) Let  $\bar{q} \in (0, q)$ .  $H^\sigma L_{p,q;b}(\mathbb{R}^n) \not\hookrightarrow \Lambda_{\infty,\bar{q}}^{\lambda(\cdot)}(\overline{\Omega})$ .

(i) - N. (2004); (i),(ii)- Logarithmic Bessel potential space-Edmunds, Gurka and Opic (1997,2000) -  $r = +\infty$ ;



# Optimal Embeddings: super-limiting case

## Theorem [Gogatishvili, N. & Opic (2005)]

Let  $\sigma \in (1, n+1)$ ,  $p = n/(\sigma-1)$ ,  $q \in (1, +\infty)$ ,  $r \in [q, +\infty]$  and  $b \in SV(0, +\infty)$  such that  $\|t^{-1/q'} [b(t)]^{-1}\|_{q';(0,1)} = +\infty$ .

$$\lambda_r(t) = t [b(t^n)]^{q'/r} \left( \int_{t^n}^2 \tau^{-1} [b(\tau)]^{-q'} d\tau \right)^{1/q'+1/r}, \quad t \in (0, 1].$$

(i) Then  $H^\sigma L_{n/(\sigma-1), q; b}(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty, r}^{\lambda_r(\cdot)}(\overline{\mathbb{R}^n})$ .

(ii) If  $\lim_{t \rightarrow 0_+} \frac{\|\tau^{-1/r} \frac{\tau}{\lambda_r(\tau)}\|_{r; (0, t)}}{\|\tau^{-1/r} \frac{\tau}{\mu(\tau)}\|_{r; (0, t)}} = 0$ ,  $H^\sigma L_{n/(\sigma-1), q; b}(\mathbb{R}^n) \not\hookrightarrow \Lambda_{\infty, r}^{\mu(\cdot)}(\overline{\Omega})$

(iii) Let  $\bar{q} \in (0, q)$ .  $H^\sigma L_{n/(\sigma-1), q; b}(\mathbb{R}^n) \not\hookrightarrow \Lambda_{\infty, \bar{q}}^{\lambda_{\bar{q}}(\cdot)}(\overline{\Omega})$ .

(i) - N. (2004); (i),(ii) - Logarithmic Bessel potential space-Edmunds, Gurka and Opic (1997,2000) -  $r = +\infty$ ;

# Optimal Embeddings: super-limiting case

## Proof: [(i)] general ideas

- $\mathcal{S}(\mathbb{R}^n)$  is dense in  $H^\sigma L_{p,q;b}(\mathbb{R}^n)$ .
- Let  $u \in \mathcal{S}(\mathbb{R}^n) \subset H^\sigma L_{p,q;b}(\mathbb{R}^n)$ .
- **(Lifting argument)**  $\frac{\partial u}{\partial x_i} \in H^{\sigma-1} L_{p,q;b}(\mathbb{R}^n)$ , for  $i = 1, \dots, n$ .
  - Use embedding results for limiting case and **inequality of DeVore and Sharpley**.
  - Use of appropriate Hardy-type inequalities.
  - Hence  $\|u\|_{\Lambda_{\infty,r}^{\lambda(\cdot)}} \lesssim \|u\|_{\sigma,p,q,b}$  for all  $u \in \mathcal{S}(\mathbb{R}^n)$ .

## Proofs: [(ii), (iii)] general ideas

- Use of appropriate extremal functions!

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Theorem

Let  $k \in \mathbb{N}$ ,  $\sigma \in [k, +\infty)$  and let  $X = X(\mathbb{R}^n) = X(\mathbb{R}^n, \mu_n)$  be an r.i. BFS. Suppose that the Boyd indices of  $X$  belong to the interval  $(0, 1)$  and that the space  $X$  has absolutely continuous norm. Then

$$H^\sigma X(\mathbb{R}^n) = W^k H^{\sigma-k} X(\mathbb{R}^n).$$

In particular,

$$H^k X(\mathbb{R}^n) = W^k X(\mathbb{R}^n).$$

Example

When  $k \in \mathbb{N}$ ,  $p \in (1, +\infty)$ ,  $q \in [1, +\infty)$  and  $b \in SV(0, +\infty)$ , then

$$H^k L_{p,q;b}(\mathbb{R}^n) = W^k L_{p,q;b}(\mathbb{R}^n).$$

- Use embedding results for limiting case and inequality of DeVore and Sharpley.

# Optimal Embeddings: super-limiting case

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Theorem (DeVore and Sharpley, 1984)

A function  $f$  such that  $|\nabla f| \in L_{loc}^{n,1}(\mathbb{R}^n)$  can be redefined on a set of measure zero so that  $f$  is continuous on  $\mathbb{R}^n$  and the modulus of smoothness  $\omega_1(f, \cdot)$  satisfies the inequality

$$\omega_1(f, t) \lesssim \int_0^t s^{n-1} |\nabla f|^*(s) ds \quad \text{for all } t \in (0, 1).$$

- Use of appropriate Hardy-type inequalities.
- Hence  $\|u\|_{\Lambda_{\infty,r}^{\lambda(\cdot)}} \lesssim \|u\|_{\sigma,p,q;b}$  for all  $u \in \mathcal{S}(\mathbb{R}^n)$ .

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- Hence  $\|u| \Lambda_{\infty,r}^{\lambda(\cdot)} \| \lesssim \|u\|_{\sigma;p,q;b}$  for all  $u \in \mathcal{S}(\mathbb{R}^n)$ .

## Proofs: [(ii), (iii)] general ideas

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# Optimal Embeddings: limiting case

And when we cannot use Lifting argument?

The bellow example is proved by different methods and was the motivation to look for different approaches!

**Theorem (Gogatishvili, N. and Opic (2007))**

Let  $0 < \sigma < n$ ,  $p = n/\sigma$ ,  $q \in (1, +\infty)$  and  $b \in SV(0, +\infty)$  such that  $\|t^{-1/q'} [b(t)]^{-1}\|_{q';(0,1)} < +\infty$ . Then

$$H^\sigma L_{p,q;b}(\mathbb{R}^n) \hookrightarrow C^{0,\lambda(\cdot)}(\overline{\mathbb{R}^n}).$$

where

$$\lambda(t) := \left( \int_0^t [b(\tau)]^{-q'} \frac{dt}{t} \right)^{1/q'}, \quad t > 0.$$

Logarithmic Bessel potential space - Edmunds, Gurka and Opic (2005);

$$H^\sigma L_{p,q;\frac{1}{q'}, \dots, \frac{1}{q'}, \alpha_m}(\mathbb{R}^n) \hookrightarrow C^{0,\lambda(\cdot)}(\overline{\mathbb{R}^n}),$$

with  $\alpha_m > \frac{1}{q'}$  and  $\lambda(t) = \ell_m^{\frac{1}{q'} - \alpha_m}(t)$ .

General case: characterization of embeddings into  $C(\overline{\Omega})$ 

**Theorem** [Gogatishvili, N. & Opic (2009)  $\sigma < 1$ , (2010)  $\sigma \in (0, n)$ ]

Let  $\sigma \in (0, n)$  and let  $X = X(\mathbb{R}^n)$  be a r. i. BFS. Assume that  $\Omega$  is a domain in  $\mathbb{R}^n$ . Then  $H^\sigma X(\mathbb{R}^n) \hookrightarrow C(\overline{\Omega})$  if and only if  $\|g_\sigma\|_{X'} < +\infty$ .

**Lemma** [Gogatishvili, N. & Opic (2009)  $\sigma < 1$ , (2010)  $\sigma \in (0, n)$ ]

Let  $\sigma \in (0, n)$ ,  $p \in (1, +\infty)$ ,  $q \in [1, +\infty]$  and  $b \in SV(0, +\infty)$ . If  $X = L_{p,q;b}(\mathbb{R}^n)$ , then

$$g_\sigma \in X'$$

if and only if either

$$p > \frac{n}{\sigma} \tag{1}$$

or

$$p = \frac{n}{\sigma} \text{ and } \|t^{-\frac{1}{q'}}(b(t))^{-1}\|_{q';(0,1)} < +\infty. \tag{2}$$



Key estimates of the  $k$ -modulus of smoothness of Bessel potentials

## Theorem

Let  $\sigma \in (0, n)$  and let  $X = X(\mathbb{R}^n)$  be an r. i. BFS such that  $\|g_\sigma\|_{X'} < +\infty$ . Then  $f * g_\sigma \in C(\mathbb{R}^n)$  for all  $f \in X$  and

$$\omega_k(f * g_\sigma, t) \lesssim \int_0^{t^n} s^{\frac{\sigma}{n}-1} f^*(s) ds \quad \forall t \in (0, 1), \forall f \in X,$$

where  $k \geq [\sigma] + 1$ .

Moreover, given  $k \in \mathbb{N}$ , there are  $\delta \in (0, 1)$  and  $\alpha > 0$  such that

$$\omega_k(\bar{f} * g_\sigma, t) \lesssim \int_0^{t^n} s^{\frac{\sigma}{n}-1} f^*(s) ds \quad \forall t \in (0, 1), \forall f \in X,$$

where  $\bar{f}(x) := f^*(\beta_n |x|^n) \chi_{C_\alpha(0, \delta)}(x)$ ,  $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ ,  
 $C_\alpha(0, \delta) := C_\alpha \cap B(0, \delta)$  with  $C_\alpha := \{y \in \mathbb{R}^n : y_1 > 0, y_1^2 > \alpha \sum_{i=2}^n y_i^2\}$ .

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Moreover, given  $k \in \mathbb{N}$ , there are  $\delta \in (0, 1)$  and  $\alpha > 0$  such that

$$\omega_k(\bar{f} * g_\sigma, t) \lesssim \int_0^{t^n} s^{\frac{\sigma}{n}-1} f^*(s) ds \quad \forall t \in (0, 1), \forall f \in X,$$

where  $\bar{f}(x) := f^*(\beta_n |x|^n) \chi_{C_\alpha(0, \delta)}(x)$ ,  $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ ,

$C_\alpha(0, \delta) := C_\alpha \cap B(0, \delta)$  with  $C_\alpha := \{y \in \mathbb{R}^n : y_1 > 0, y_1^2 > \alpha \sum_{i=2}^n y_i^2\}$ .

Key estimates of the  $k$ -modulus of smoothness of Bessel potentials

## Theorem

Let  $\sigma \in (0, n)$  and let  $X = X(\mathbb{R}^n)$  be an r. i. BFS such that  $\|g_\sigma\|_{X'} < +\infty$ . Then  $f * g_\sigma \in C(\mathbb{R}^n)$  for all  $f \in X$  and

$$\omega_k(f * g_\sigma, t) \lesssim \int_0^{t^n} s^{\frac{\sigma}{n}-1} f^*(s) ds \quad \forall t \in (0, 1), \forall f \in X,$$

where  $k \geq [\sigma] + 1$ .

Moreover, given  $k \in \mathbb{N}$ , there are  $\delta \in (0, 1)$  and  $\alpha > 0$  such that

$$\omega_k(\bar{f} * g_\sigma, t) \lesssim \int_0^{t^n} s^{\frac{\sigma}{n}-1} f^*(s) ds \quad \forall t \in (0, 1), \forall f \in X,$$

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One of the key steps in the proof of the sharpness of this estimate is the assertion that  $\operatorname{sgn} \frac{\partial^j g_\sigma}{\partial x_1^j}(x) = (-1)^j$ ,  $\sigma \in (0, n)$ ,  $j \in \mathbb{N}$ , for all  $x$  in a small circular half-cone whose vertex is at the origin and whose axis coincides with the positive part of  $x_1$ -axis.

Optimal Embeddings: general case and smoothness  $\sigma \in (0, n)$ **Theorem** [Gogatishvili, N. & Opic (2010)]

Let  $\sigma \in (0, n)$  and let  $X = X(\mathbb{R}^n) = X(\mathbb{R}^n, \mu_n)$  be a r. i. BFS such that  $\|g_\sigma\|_{X'} < +\infty$ . Put  $k := [\sigma] + 1$ , assume that  $r \in (0, +\infty]$  and  $\mu \in \mathcal{L}_r^k$ . Then

$$H^\sigma X(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty, r}^{k, \mu(\cdot)}(\overline{\mathbb{R}^n})$$

if and only if

$$\left\| t^{-\frac{1}{r}} (\mu(t))^{-1} \int_0^{t^n} \tau^{\frac{\sigma}{n}-1} f^*(\tau) d\tau \right\|_{r; (0,1)} \lesssim \|f\|_X \text{ for all } f \in X.$$

## Optimal Embeddings: limiting case-Example

**Theorem** [Gogatishvili, N. & Opic (2009)  $\sigma < 1$ , [Gogatishvili, N. & Opic (2010)  $\sigma \in (0, n)$ ]

Let  $\sigma \in (0, n)$ ,  $p = \frac{n}{\sigma}$ ,  $q \in (1, +\infty]$ ,  $r \in (0, +\infty]$ ,  $k = [\sigma] + 1$ ,  $\mu \in \mathcal{L}_r^k$  and let  $b \in SV(0, +\infty)$ :  $\|t^{-\frac{1}{q'}}(b(t))^{-1}\|_{q';(0,1)} < +\infty$ . Let

$$\lambda_{qr}(x) := b^{q'/r}(x^n) \left( \int_0^{x^n} b^{-q'}(t) \frac{dt}{t} \right)^{\frac{1}{q'} + \frac{1}{r}}, \quad x \in (0, 1].$$

If  $1 < q \leq r \leq +\infty$ , then

$$H^\sigma L_{p,q;b}(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty,r}^{k,\mu(\cdot)}(\overline{\mathbb{R}^n}) \iff \overline{\lim}_{x \rightarrow 0^+} \frac{\|t^{-\frac{1}{r}}(\mu(t))^{-1}\|_{r;(x,1)}}{\|t^{-\frac{1}{r}}(\lambda_{qr}(t))^{-1}\|_{r;(x,1)}} < +\infty.$$

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## Remark

When  $r \in [1, +\infty]$ , then

$$\Lambda_{\infty,r}^{k,\lambda_{qr}(\cdot)}(\overline{\mathbb{R}^n}) = \Lambda_{\infty,r}^{1,\lambda_{qr}(\cdot)}(\overline{\mathbb{R}^n}).$$

# Embeddings: Examples in the limiting case

## Example

Let  $\sigma \in (0, n)$ ,  $p = \frac{n}{\sigma}$ ,  $q \in (1, +\infty]$  and  $r \in [q, +\infty]$ .

If  $\alpha > \frac{1}{q'}$ ,  $\beta \in \mathbb{R}$ , then

$$H^\sigma L^{p,q}(\log L)^\alpha (\log \log L)^\beta (\mathbb{R}^n) \hookrightarrow \Lambda_{\infty,r}^{1,\lambda_{qr}(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow C^{0,\lambda_{q\infty}(\cdot)}(\overline{\mathbb{R}^n}),$$

$$\lambda_{qr}(t) = (1 + |\log t|)^{\frac{1}{r} + \frac{1}{q'} - \alpha} (1 + \log(1 + |\log t|))^{-\beta}, \quad t \in (0, 1];$$

$$\lambda_{q\infty}(t) = (1 + |\log t|)^{\frac{1}{q'} - \alpha} (1 + \log(1 + |\log t|))^{-\beta}, \quad t \in (0, 1].$$

If  $\alpha = \frac{1}{q'}$ ,  $\beta > \frac{1}{q'}$ , then

$$H^\sigma L^{p,q}(\log L)^\alpha (\log \log L)^\beta (\mathbb{R}^n) \hookrightarrow \Lambda_{\infty,r}^{1,\lambda_{qr}(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow C^{0,\lambda_{q\infty}(\cdot)}(\overline{\mathbb{R}^n}),$$

$$\lambda_{qr}(t) = (1 + |\log t|)^{\frac{1}{r}} (1 + \log(1 + |\log t|))^{\frac{1}{r} + \frac{1}{q'} - \beta}, \quad t \in (0, 1];$$

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# Optimal Embeddings: super-limiting case-Again!

**Theorem** [Gogatishvili, N. & Opic (2009)  $\sigma < 1$ , [Gogatishvili, N. & Opic (2010)  $\sigma \in (0, n)$ ]

Let  $\sigma \in (0, n)$ ,  $p \in (\frac{n}{\sigma}, +\infty)$ ,  $q \in [1, +\infty]$ ,  $b \in SV(0, +\infty)$ ,  $r \in (0, +\infty]$ ,  $k = [\sigma] + 1$ , and  $\mu \in \mathcal{L}_r^k$ .

Let

$$\lambda(x) := x^{\sigma - \frac{n}{p}} (b(x^n))^{-1}, \quad x \in (0, 1].$$

If  $1 \leq q \leq r \leq +\infty$ , then

$$H^\sigma L_{p,q;b}(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty,r}^{k,\mu(\cdot)}(\overline{\mathbb{R}^n}) \iff \overline{\lim}_{x \rightarrow 0_+} \left\| t^{-\frac{1}{r}} (\mu(t))^{-1} \right\|_{r;(x,1)} \lambda(x) < +\infty.$$

# Optimal Embeddings: super-limiting case-Again!

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Let  $\sigma \in (0, n)$ ,  $p \in (\frac{n}{\sigma}, +\infty)$ ,  $q \in [1, +\infty]$ ,  $b \in SV(0, +\infty)$ ,  $r \in (0, +\infty]$ ,  $k = [\sigma] + 1$ , and  $\mu \in \mathcal{L}_r^k$ .  
Let

$$\lambda(x) := x^{\sigma - \frac{n}{p}} (b(x^n))^{-1}, \quad x \in (0, 1].$$

If  $1 \leq q \leq r \leq +\infty$ , then

$$H^\sigma L_{p,q;b}(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty,r}^{k,\mu(\cdot)}(\overline{\mathbb{R}^n}) \iff \overline{\lim}_{x \rightarrow 0^+} \left\| t^{-\frac{1}{r}} (\mu(t))^{-1} \right\|_{r;(x,1)} \lambda(x) < +\infty.$$

## Remark

When  $r \in [1, +\infty]$ , then

$$\Lambda_{\infty,r}^{k,\lambda(\cdot)}(\overline{\mathbb{R}^n}) = \Lambda_{\infty,r}^{[\sigma - \frac{n}{p}] + 1, \lambda(\cdot)}(\overline{\mathbb{R}^n}).$$

If  $\sigma = \frac{n}{p} + 1$ , then

$$\Lambda_{\infty,r}^{k,\lambda(\cdot)}(\overline{\mathbb{R}^n}) = \Lambda_{\infty,q}^{2,\lambda(\cdot)}(\overline{\mathbb{R}^n}).$$

# Optimal Embeddings: super-limiting case-Again!

## Corollary [Gogatishvili, N. & Opic (2010)]

If  $\sigma \in (1, n)$ ,  $p = \frac{n}{\sigma-1}$ ,  $q \in (1, +\infty]$ ,  $r \in [q, +\infty]$  and  $b \in SV(0, +\infty)$  be such that  $\|t^{-1/q'} [b(t)]^{-1}\|_{q';(0,1)} = +\infty$ .

Let

$$\lambda(t) := t(b(t^n))^{-1}, \quad t \in (0, 1].$$

and let

$$\lambda_{qr}(t) := t [b(t^n)]^{q'/r} \left( \int_{t^n}^2 \tau^{-1} [b(\tau)]^{-q'} d\tau \right)^{1/q'+1/r}, \quad t \in (0, 1].$$

Then

$$H^\sigma L_{p,q;b}(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty,q}^{2,\lambda(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow \Lambda_{\infty,r}^{1,\lambda_{qr}(\cdot)}(\overline{\mathbb{R}^n}).$$

## Remark

Previous Corollary improves Theorem 3.2 of (GNO, 2005), provided  $\sigma = 1 + \frac{n}{p} < n$  and shows that the Brézis-Wainger embedding of the Sobolev space

$H_p^{1+\frac{n}{p}}(\mathbb{R}^n)$ ,  $\sigma = 1 + \frac{n}{p} < n$ , into the space of “almost” Lipschitz functions is a consequence of a better embedding whose target is the Zygmund space.

## Example

if  $n > 1$ ,  $p = q$ ,  $p > \frac{n}{n-1}$ ,  $b(t) = \ell_1^\alpha(t)$ ,  $t \in (0, +\infty)$ ,  $\alpha < \frac{1}{p'}$ ,

$$H^{1+\frac{n}{p}} L^p(\log L)^\alpha(\mathbb{R}^n) \hookrightarrow \Lambda_{\infty, p}^{2, \lambda(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow \Lambda_{\infty, r}^{1, \lambda_r(\cdot)}(\overline{\mathbb{R}^n}) \hookrightarrow \Lambda_{\infty, \infty}^{1, \lambda_\infty(\cdot)}(\overline{\mathbb{R}^n}),$$

with

$$\lambda(x) := x \ell_1^{-\alpha}(x) \quad \text{for all } x \in (0, 1],$$

and

$$\lambda_{pr}(x) = x (\ell_1(x))^{1/p' + 1/r - \alpha}, \quad x \in (0, 1], \quad r \in [p, +\infty].$$

If  $\alpha = 0$ , this example shows that the Brézis-Wainger embedding of the Sobolev space  $H_p^{1+\frac{n}{p}}(\mathbb{R}^n)$ ,  $\sigma = 1 + \frac{n}{p} < n$ , into the space of “almost” Lipschitz functions is a consequence of a better embedding whose target is the Zygmund space  $\Lambda_{\infty, n/k}^{2, Id(\cdot)}(\overline{\mathbb{R}^n})$  ( $Id(\cdot)$  stands for the identity map).

## Example

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with

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